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JC961 U.S. PTO

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PTO/SB/05 (1/98)  
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Patent and Trademark Office U S DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	M-12420-1C US
	First Named Inventor or Application Identifier	Richard Joseph Caballero
	Title	Data Structure for a Complex Order Processing System
	Express Mail Label No.	EV004868057 US

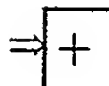
<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>	<b>ADDRESS TO:</b> Commissioner for Patents Box Patent Application Washington, D.C. 20231
<div>1. <input checked="" type="checkbox"/> Fee Transmittal Form - <i>see page 2 of this form.</i> <small>(Submit an original, and a duplicate for fee processing)</small></div> <div>2. Application: <input checked="" type="checkbox"/> Specification: <small>(preferred arrangement set forth below)</small> Reference to Related Application Background of the Invention, Brief Summary of the Invention, Brief Description of the Drawings, and Detailed Description (all totaling 82 pages) Appendix(es) ___, ___, &amp; ___ (___ pages) <input checked="" type="checkbox"/> Claim(s) 10 pages <input checked="" type="checkbox"/> Abstract of the Disclosure 1 page</div> <div>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) <small>[Total Sheets 71]</small></div> <div>4. Oath or Declaration <input checked="" type="checkbox"/> unsigned <small>[Total Pages 2]</small> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from prior application (37 CFR §1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> c. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</small></div> <div>5. <input type="checkbox"/> Incorporation By Reference <small>(useable if Box 4b is checked)</small> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</div> <div>6. <input type="checkbox"/> Microfiche Computer Program Appendix consisting of ___ pages of microfiche containing ___ frames on each page in accompanying envelope.</div> <div>7. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies</div>	
<b>ACCOMPANYING APPLICATION PARTS</b>	
8. <input type="checkbox"/> Assignment Papers (cover sheet & documents) ___ pages	
9. <input type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <small>(combined when there is an with Patent Declaration above.)</small>	
10. <input type="checkbox"/> English Translation Document (if applicable)	
11. <input type="checkbox"/> Information Disclosure Statement (IDS) & <input type="checkbox"/> PTO-1449 <input type="checkbox"/> ___ Copies of IDS Citations/References	
12. <input type="checkbox"/> Preliminary Amendment ___ pages	
13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(should be specifically itemized)</small>	
14. Small Entity Status <input type="checkbox"/> Small Entity Statement Enclosed ___ pages <input type="checkbox"/> Statement filed in prior application; and status still proper and desired <input type="checkbox"/> Is no longer claimed.	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>	
16. <input checked="" type="checkbox"/> Other: <input type="checkbox"/> Copy of Petition for Extension of Time filed in parent appln.; <input checked="" type="checkbox"/> NonPublication Request	
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional of prior application No. <u>Unknown</u> Filed on <u>December 17, 2001</u> , entitled: <u>"System and Method for Processing Complex Orders."</u> PRIOR APPLICATION INFORMATION: <u>Examiner Unknown Group Art Unit Unknown</u>	

J1040 U.S. PTO  
10/029686

12/18/01

<b>18. CORRESPONDENCE ADDRESS</b>					
<input type="checkbox"/> Customer Number or Bar Code Label or <input checked="" type="checkbox"/> Correspondence address below					
Name	Mary Jo Bertani			Reg. No. 42,321	
Attorneys for Applicant	Skjerven Morrill MacPherson LLP				
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PTO/SB/29 (1/98)

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### 19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
49	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	29	x	\$18	=	\$ 522.00
2	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	0	x	\$80	=	\$ 0.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))				+	\$260.00	=	
	BASIC FEE (37 CFR 1.16(a))						=	\$ 710.00
	Total of above Calculations						=	\$1,232.00
	Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).						=	
	TOTAL						=	\$1,232.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **19-2386**:

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)  
b. ☐ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)  
c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: \_\_\_\_\_

#### NOTE:

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

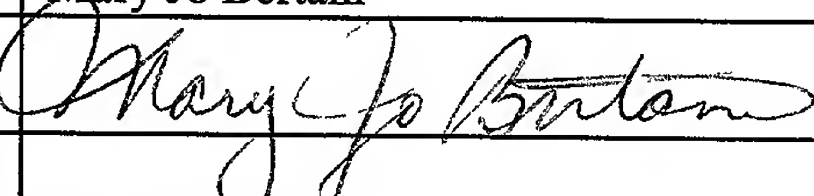
#### 22. NEW CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

☐ New correspondence address below

NAME					
ADDRESS					
CITY	STATE	ZIP CODE			
COUNTRY	TELEPHONE	FAX			

#### 23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Skjerven Morrill MacPherson LLP 25 Metro Drive, Suite 700 San Jose, CA 95110 Tel. 949-718-5200 Fax. 949-718-5200	
Date:	
Name	Mary Jo Bertani Reg. No. 42,321
Signature	
Express Mail Label No.	EV004868057 US

EXPRESS MAIL LABEL NO:  
EV004868057US

MODIFIED PTO/SB/35 (11-00)

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	Inventors	Caballero, et al.
	Title	Data Structure for a Complex Order Processing System
	Atty Docket Number	M-12420-1C US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 17, 2001  
Date

  
Mary Jo Bertani  
Attorney for Applicants  
Reg. No.: 42,321

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

7 CFR 1.213(a) provides for a request that an application not be published under 35 U.S.C. 122(b). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. SEND TO: Commissioner for Patents, Washington, DC 20231.